

**COMPANIES (APPOINTMENT AND QUALIFICATION OF DIRECTORS) FIFTH
AMENDMENT RULES, 2018**



[To be published in the Gazette of India, Extraordinary, Part 11, Section 3, Sub- Section (i)] Government of India MINISTRY OF CORPORATE AFFAIRS Notification New Delhi, 21st August, 2018 G.S.R. exercise of the powers conferred under second proviso to sub-section (1), sub-section (4), clause () of sub-section (6) of section 149, sub-section (3) and (4) of section 150, section 151, sub-section (5) of section 152, section 153, section 154, section 157, section 160, sub-section (I) of section 168 of and section 170 read with section 469 of the Companies Act, 2013 (18 of 2013), the Central Government hereby makes the following rules further to amend the Companies (Appointment and Qualification of Directors) Rules, 2014, namely: 1. 2. (1) These rules may be called the Companies (Appointment and Qualification of Directors) Fifth Amendment Rules, 2018. (2) They shall come into force from the date of their publication in the Official Gazette. In the Companies (Appointment and Qualification of Directors) Rules, 2014, (i) in the proviso to rule 12A, for the words and numbers "DIR-3 KYC on or before 3 1st August, 2018, the words and numbers "DIR-3 KYC on or before 15th September, 2018 " shall be substituted. in the Annexure, for



Form No.D[R-3 KYC, the following Form shall be substituted:- FORM NO.DIR-3-KYC [Pursuant to rule 12A of The Companies (Appointment and Qualification of Directors) Rules, 2014] KYC of Directors

Form Language Note- O English O Hindi - All fields marked in are mandatory fields - In case of Indian nationals, Income-tax Permanent Account Number (Income-tax PAN) is mandatory in all cases even if there is no change in Income-tax PAN. In such cases, director details should be as per Income-tax PAN. In case the details as per Income-tax PAN are incorrect, director/designated partner is advised to first correct the details in Income-tax PAN. Refer instruction kit for details.

1, (a) • Director Identification Number(DIN) (b) Name 2. Director's name (Enter full name and do not use (a) First name (b) Last name (c) Middle name 3. *Father's Name (Even married women must give father's name) (a) First name (b) Last name (c) Middle name 4. Whether a citizen of India 5. Nationality Whether resident in India O Yes O Yes O No 7. 8. 9. *Date of birth Gender O Female O Transgender O Male Income tax PAN IO. Do you have Aadhaar Aadhaar number 11.Voter's Identity card number 12. • DO You have a valid passport #Passport number 13. Driving license number 14. Personal Mobile Number IS. Personal Email ID 16. *Enter OTP for Mobile Number 17. •Enter OTP for Email ID 18.Permanent residential address O Yes O Yes O No O NO Send OTP Do you have a permanent address outside India O Yes Line I Line 11 City State ISO country code Country Verify OTP O No Pin code Phone 19.Whether present residential address is same as permanent residential address O 20. Present residential address Yes O No Line I Line 11 City State ISO country code Country Pin code Attachments: . 'f Proof of permanent address 1 Copy of Aadhaar card 2



3. Copy of Passport **4.**Proof of present address Optional attachments, if any **5.** List of Attachments Attach
Atta th Attach Atte ch Attach Remove attachment Verification I, hereby confirm and verify that the
particulars given in the Form herein above are true and also are in agreement with the documents being
attached to this form. (iii) (iv) The documents being attached to the



Form DIR-3KYC belong to me. I further confirm that all required documents have been duly issued by the respective government authority and are being attached to the Form DIR-3 KYC and I further confirm that the Mobile No and email ID belong personally to me and have not been declared as proclaimed offender by any Economic Offence Court or Judicial Magistrate Court or High Court or any other Court, and I have no other allotted DIN other than DIN in which changes are intimated under section 154 of the Companies

Act, 2013 or a Designated Partner Identification Number under section 7 of the Limited Liability Partnership Act, 2008. I shall be liable under section 448 of the Act and under relevant provisions of the Indian penal Code, 1860 and any other law as applicable, if any Statement in this application is found to be

false or any material fact is found to have been omitted. be digitally signed by Applicant DSC Certification i declare that I have been duly engaged for the purpose of certification/verification of this form. It is hereby certified * I have satisfied myself about the identity of the applicant and his address based on the perusal of the original of the attached document. Note: In case where the applicant is residing outside India the particulars have to be verified from the documents duly attested by the attesting authority as prescribed. I have verified and attested the documents of the applicant based on the Originals documents produced before me. All required attachments have been completely attached to this application * I have

gone through the provisions of The Companies Act, 2013 and rules thereunder for the subject matter of this form and matters incidental thereto and have verified the above particulars including attachment(s)) t' orri the original records maintained by the Company/applicant which is subject matter of this form and found them to be true, correct and complete and no information material to this form has been suppressed. further certify that: o Mobile No and email ID belong to the applicant / Director signing the form. All the required attachments have been completely and legibly attached to this form; • I have kept a copy of this

form and attachments thereto. in my records for further reference is understood that I shall be liable for action under section 448 of The Companies Act, 2013 for wrong certifications, DSC Box *To be digitally signed by *Category If any found at any stage, Fellow *Whether Associate or Fellow O Associate *Membership Number XCertificate of Practice Number Check Form Note: Attention is drawn to provisions of Section 448 and 449 which provide for punishment for false statement / certificate and punishment for false evidence respectively- This e-Form has been taken on file maintained by the register of companies through electronic mode and on the basis of statement of correctness given by the Director and professional. F.NO. 01/ 2/2013 CL-V (Pt-III)] (K.V.R.Mu), Joint secretary. Note: The principal notification was published in the Gazette of India, Part 11, Section 3, Sub-section (i) vide number G.S.R.

259(E) dated 31 st March, 2014 and subsequently amended vide the following notifications:- Serial Notification Number Number 1. 2. 3. 4. 5. 6. 7. G.S.R. 671 (E) G.S.R. 42 E G.S.R. 839 (E G.S.R 51CE)— G.S.R.431 E G.S.R. 558 E G.S.R. 615 (E) Notification Date 18.09.2014 19.01.2015 05.07.2017 22.01.2018 07.05.2018 12.06.2018 05.07.2018 *PT-2, 3, 39-ag (i) 21 2018 \$zr 2013 (2013 18) 469 149 (1), (4), (6) zig (tr), 150 *r (3) (4), 151, 152 (5), 153, 154, 157, 160, 168 (1) 170 Qfr-a-zff g-frJT 3•TÉ7Tki) a-zi3T, 2014 fav t, 3i2i-a:- (1) 34±7TkT) qtÉrat 2018 tl 2. 31ÉTRi) 2014 (i) å, 31 2018 'filtra 15 2018 zrr 3-ik 1 2014 1 245 &-ITYT o - 3-nuq;« åa) .3-ING{ araT 2. a•rn •ft-a-r 3.å 4, 2 5. 6. 7. 8. 9. 10. 11. 12. 13. 14. 15. 16. 17. 18. ære-zn-fi--a q-ræ:r O a-gr 3--frtTër a-å föQT exr\$ q-aT 3-TT(ö V2n-ztr 3 20. 2 S. 5. ci-f?-a 11 .3-Tif.1R -å-*q-G q-aT 3.h•c & t (ä-a77 \$t) 4 (ii) (iii) (iv) (v) 3-tr 3?rc \$13TT\$nx-3-* -€T 3Trgr 3-T2RT Jfi@zra, 2013 üt{T 154 3ß-fr:r ziGQT (\$3TT#7) zrr 2008 7 448 941q--efi-u 1860 3--ltfröf E.tYUIT q-z:å3GT 7TuT g--afi--a •-å 3Ta-a* 3-TTÜR qq-å a-r& a-röTr tl 3-hv 3{TäZGT æTT2(o 5 2013 3ft.t17 t 3-q-åGT tÉr 3.fr 3-xffi-a-@t 2013 448 o 448 449 t 6 (qræTQGT) 3i--fi-zr ETt-a-r (3'RT 3-rrtnx t''' 7 &TT7T-2, Gg-3, (i) æTTÄiT.å.259(3T) 31 FT+, 2014 f*cr vrv:_ €-czrr 2. 3. 4. 5. 6. 671(31) 42(3T) 839(3T) 51 (31) 431(3) 558(3T) 615(3T) 18.09.2014 19.01.2015 05.07.2017 22.01.2018 07.05.2018 12.06.2018 05.07.2018 8