

1[The Himachal Pradesh Electricity Regulatory Commission (Guidelines and Formats for Tariff Filings) Regulations, 2005]

NOTIFICATION

Shimla – 31st October, 2005

No. HPERC/426.-The Himachal Pradesh Electricity Regulatory Commission in exercise of the powers vested in it under section 62(2) of the Electricity Act 2003 (36 of 2003) and all other powers enabling it in that behalf, hereby makes the following regulations namely:-

1. Short title, extent and commencement.- (1) These regulations may be called the Himachal Pradesh Electricity Regulatory Commission (Guidelines and Formats for Tariff Filing) Regulations, 2005.

(2) These regulations extend to the whole of the State of Himachal Pradesh.

(3) These regulations shall come into force from the date of their publication in the Rajpatra, Himachal Pradesh.

2. Application of the regulations.- These Regulations shall apply to all intra-state transmission licensees, distribution licensees and the trading licensees in the State and also to all the generating companies for supply of power to the distribution licensee within the State except for such of the projects where the tariff has been determined between the distribution licensee, the Government and the generating company and based upon such agreement the power purchase agreement has been approved by the Commission.

3. Definitions.- In these regulations, unless the context otherwise requires:

- (a) “**Act**” means the Electricity Act, 2003 (36 of 2003);
- (b) “**annexure**” means the annexure to these regulations;
- (c) “**annual capacity index**” means the average of the daily capacity indices over a full year;
- (d) “**annual capacity utilisation**” means the actual energy generated in a year divided by the maximum energy it is capable of generating in a year;
- (e) “**Capacity Index (CI)**” means declared capacity (MW) x 100/ maximum available capacity (MW);
- (f) “**commercial operation**” means when control of the loading of the generator is turned over to the system dispatcher;²
- (g) “**Commission**” means the Himachal Pradesh Electricity Regulatory Commission;
- (h) “**declared capacity**” means the capacity to be available from the plant over the peaking hours of the next day, as declared by the generator.

Explanation .-

For this purpose the peaking hours shall be not less than 3 hours within a 24 hour period;

¹ Published in R. H.P. (Extra) dated 3rd November, 2005 at p. 4277-4398.

- (i) “**design energy**” means the quantum of energy, which could be generated in a 90 percent dependable year with 95 percent availability of installed capacity of the station on annual basis;

- (j)“**Government**” means Government of Himachal Pradesh;
- (k)“**licence**” means a licence granted under Section 14 of the Act to undertake intra-state transmission or distribution of electricity;
- (l)“**licensed business**” means the functions and activities, which the licensee is required to undertake in terms of the licence granted or being a deemed licensee under the Act;
- (m)“**licensee**” means a person who has been granted a licence and includes deemed licensee in the State of Himachal Pradesh;
- (n)“**maximum available capacity**” means maximum capacity the plant could generate with all units running, under the prevailing conditions of water levels, flows and with 100% gate openings;
- (o)“**normative value**” means a value above which a hydro station would gain incentives.

Explanation.-

For this purpose the normative value shall be 85% or as may be determined by the Commission from time to time;

- (p)“**primary energy**” means the quantum of energy generated up to the design energy on per year basis at the generating station;
- (q)“**primary energy charge**” means 90% of the lowest variable charge of the thermal power station in the concerned region and the balance of total charges would be recovered as capacity charges;
- (r)“**secondary energy**” means the quantum of energy generated in excess of the design energy on per year basis at the generating station;
- (s)“**tariff**” means a schedule of standard prices or charges (termed 'rates'), together with terms and conditions for the application of the tariff to a supply of electricity or electricity related service;

(3) Words and expressions used and not defined in these regulations but defined in the Act shall have the meanings as assigned to them in the Act.

4. Application for determination of Tariff.– (1) Every year, by November 30th, every generating company and licensee shall file with the Commission, a tariff application with statements containing the Expected Revenues from the Tariff and Charges including Miscellaneous charges of the ensuing financial year, under its currently approved tariff and charges including miscellaneous charges along with detailed explanations for assumptions made.

(2) The trading licensee shall file the application if required to do so, by a written order of the Commission.

(3) The information to be filed by the generating companies and licensees are as follows:

- (a) Formats for generating company provided in Annexure 1;

Compendium of HPERC Regulations, March 2021

3

- (b) Formats for transmission licensee provided in Annexure 2;
- (c) Formats for distribution licensee provided in Annexure 3;
- (d) Formats for trading licensee provided in Annexure 4 ;
- (e) Formats as per regulation 35 of the HPERC (Terms and Conditions for Determination of Tariff) Regulations, 2004 provided in Annexure 5:

Provided that non-compliance of this provision shall be treated as contravention of the regulations and the Commission may initiate proceedings to direct action as provided under section 142 of the Act.

(4) The generating companies and the licensees shall submit a statement on compliance of directives issued by the Commission in its last tariff order along with formats as stated in sub-regulation(3).

(5) In addition to the information to be submitted as per the formats in sub-regulation (3), the licensee should also submit the following as applicable:-

- ☐ Copies of reports submitted by the consultants on various engagements;
- ☐ Minutes of meetings and action taken reports on public interaction and hearing; processes conducted
- ☐ Audit reports of the Comptroller and Auditor General of India;
- ☐ Progress regarding unmanning of sub-station;
- ☐ Initiatives undertaken under Power Sectors Reforms;
- ☐ Business Plans which should include the capital investment plan;
- ☐ Performance vis-à-vis conditions of distribution, transmission and trading licence;
- ☐ E-governance initiatives undertaken; if any.

(6) The licensee should furnish information regarding installation of High Voltage Distribution System (HVDS) and improvement in the High Tension/Low Tension (HT/LT) ratio;

(7) The licensee should furnish information of Open Access Consumers such as existing consumers, pending applications, contracted capacity, point of injection and point of withdrawal.

(8) The distribution or trading licensee shall also furnish additional information as specified in Annexures 6&7.

(9) A petition for determination of tariff shall be accompanied by information for the previous year, current year and the ensuing year in forms specified by the Commission. The information for the previous year should be based on audited accounts and in case audited accounts for previous year are not available, audited accounts for the immediately preceding previous year should be filed along with un-audited accounts for the previous year.

(10) Every new licensee shall file an application with the Commission, immediately on grant of licence, a tariff application along with details as stated in sub-regulation (3). A generating company shall file a tariff application with the Commission for the new generating station at least two months ahead of commencement of commercial operations of the unit.

Compendium of HPERC Regulations, March 2021

(11) The filed application along with the duly filled up formats and explanations will be treated as a petition and it shall be filed as per the procedure provided in 'HPERC (Conduct of Business) Regulations, 2005' and complete in all respects.

(12) Every application for determination of tariff or for continuation of previously determined tariff shall be accompanied with a tariff application fee based on the previous year's actuals as specified 'HPERC (Conduct of Business) Regulations, 2005'.

(13) All filings should be in conformity with the stipulations in the licensing regulations and the conditions of the license. Separate copies of the filing shall be sent to all the generating companies, the licensees and the Government.

(14) The transmission and distribution licensees shall include a detailed statement of voltage-wise aggregate technical and commercial losses (AT&C). The voltage-wise losses shall be distributed according to the energy drawn at that voltage level. The licensees must provide plans for reducing the losses, together with the details of the investment required to achieve the planned reductions. All such plans are required to be submitted year wise, commencing with the ensuing financial year and covering at least the four subsequent years indicating the sources of fund required to execute these plans.

(15) If there is a revenue gap between the Expected Revenues from the currently applicable Tariff and Charges including Miscellaneous charges and the revenue requirement for the ensuing financial year, the generating company and licensee shall include a proposal/plan as to how it proposes to bridge this revenue gap.

(16) In addition to the hard copies, the information shall necessarily be submitted in electronic form.

(17) The generating companies and licensees are required to constitute and intimate the particulars to the Commission regarding the working group responsible for providing the desired information and communication with the Commission for necessary clarification etc., if any.

(18) Commission may seek clarification and additional information on inadequacies in the application, if any, and the generating company and licensee shall provide the clarifications within the date stipulated by the Commission.

(19) Any delay/ non-submission of the tariff application / information may attract penalty / fines in accordance with the appropriate provisions of the Electricity Act, 2003 (36 of 2003) besides postponing the effective date of admission of the application for the purpose of sub-section (3) of section 64 of the Act.

Explanation.-

In relation to the filing of application for determination of tariff by the existing Board, the revenue and expenditure relating to subsidiaries of the Board, Projects Investigation and Planning, Civil Design, Larji Project and all other civil wings, Larji Electrical Design, Power House Design, Electrical Directorates not



forming part of generation, transmission and distribution, State Load Dispatch Centre and other business shall not be taken into consideration.

5. Use of the Information.- The Commission shall have the right to use the information submitted by the generators or the licensees, its successor entities and other electricity utilities as it deems fit including publishing it or placing it on the Commission's website and/ or directing the generator or the licensee to display the information on the generator or the licensee's website.

Compendium of HPERC Regulations, March 2021

5

6. Multi Year Tariff Principles and Guidelines.- (1) The Commission may adopt multiyear tariff principles for all matters relating to tariff determination including expected revenue from tariff and charges including Miscellaneous charges, allowable cost for prescribed operational efficiency levels, the revision in tariff and charges, changes in tariff structure and such other matters as may be considered necessary

(2) The Commission may, from time to time, issue guidelines for filing statement of revenue calculations and tariff applications for multi-year and unless waived by the Commission, the licensee shall follow such guidelines issued by the Commission.

7. Repeal and savings.- (1) The Guidelines for revenue and tariff filing issued by the Himachal Pradesh the Electricity Regulatory Commission under section 29(4) of the Electricity Regulatory Commission Act, 1998, on 23.02.2001 are hereby repealed

(2) Nothing in these regulations shall be deemed to limit or otherwise affect the inherent power of the Commission to make such orders as may be necessary to meet the ends of justice or to prevent abuses of the process of the Commission.

(3) Nothing in these regulations shall bar the Commission from adopting in conformity with the provisions of the Electricity Act 2003 (36 of 2003) a procedure, which is at variance with any of the provisions of these regulations, if the Commission, in view of the special circumstances of a matter or class of matters and for reasons to be recorded in writing, deems it necessary or expedient for dealing with such a matter or class of matters.

(4) Nothing in these regulations shall, expressly or impliedly, bar the Commission dealing with any matter or exercising any power under the Electricity Act 2003 (36 of 2003) for which no regulations have been framed, and the Commission may deal with such matters, powers and functions in a manner it thinks fit.

8. Issue of orders and practice directions.- Subject to the provisions of the Electricity Act, 2003 (36 of 2003) and these regulations, the Commission may, from time to time, issue orders and practice directions in regard to the implementation of these regulations and procedure to be followed on various matters, which the Commission has been empowered by these regulations to direct, and matters incidental or ancillary thereto.

9. Power to remove difficulties.- If any difficulty arises in giving effect to any of the provisions of these regulations, the Commission may either suo motu or on an application made to it, by general or special order, do or undertake or direct the Licensee to do or undertake things, which in the opinion of the Commission is necessary or expedient for removing the difficulties.

10. Power to amend The Commission may, at any time add, vary, alter, modify or amend any provisions of these regulations.

By order of the Commission

-Sd-

Secretary

Compendium of HPERC Regulations, March 2021

ANNEXURES

- | | |
|---|---|
| 1. Annexure 1 Hydel- Stations | Annexure 1 - Hydel Stations.xls |
| 2. Annexure 2 Tariff formats Transmission | Annexure 2- Tariff Formats Transmission.xls 3. |
| Annexure 3 Tariff formats Distribution | Annexure 3 - Tariff Formats Distribution.xls 4. |
| Annexure 4 Tariff formats Trading | Annexure 4 - Tariff Formats Trading.xls 5. |
| Annexure 5 | Annexure 5.doc |
| 6. Annexure 6 | Annexure 6.doc 7. Annexure 7 |
| | Annexure 7.doc |

Compendium of HPERC Regulations, March 2021

165

**Notifications and Orders issued
under**

The HPERC (Guidelines and Formats for Tariff Filing) Regulations, 2005

**1 [HPERC (Formats for Multiyear Tariff Filings by the Distribution Licensee)
Order, 2011]**

NOTIFICATION

Dated: 2nd April, 2011

No. HPERC/Dis./479(Reg.- In exercise of the powers conferred by sub-regulation (1) of regulation 35 and regulation 44 of the Himachal Pradesh Electricity Regulatory Commission (Terms and Conditions for Determination of Wheeling Tariff and Retail Supply Tariff) Regulations, 2011 and regulations 8 and 10 of the Himachal Pradesh Electricity Regulatory Commission (Guidelines and Formats for Tariff Filing) Regulations, 2005, the Himachal Pradesh Electricity Regulatory Commission, hereby makes the following Order laying down formats for the Multi Year Tariff filings by the distribution licensee, namely:-

ORDER

1.Short title:- This Order may be called the Himachal Pradesh Electricity Regulatory Commission (Formats for Multi Year Tariff Filings by the Distribution Licensee) Order, 2011.

2. Definitions.- In this order, unless the context otherwise requires,-

- (a) “**Act**” means the Electricity Act, 2003 (Act No. 36 of 2003);
- (b) “**Annexure**” means the annexure to this Order;
- (c) “**Form**” means the Form annexed to this Order;
- (d) “**regulations**” means the regulations made under the Act;
- (e) the words and expressions used and not defined in this Order but defined in the Act and the regulations framed thereunder shall have the meanings as assigned them in the Act.

3. Forms for Multi Year Tariff Filings by the Distribution Licensee.- (1) The Multi Year Tariff filing by the distribution licensee shall be in the Forms as given in the Annexure to this Order and hosted on the website of Himachal Pradesh Electricity Regulatory Commission i.e www.hperc.org

(2) The forms laid down in the Annexure to this Order, with such modifications or variations, as the circumstances of each case may require, shall be used for the purpose mentioned therein and where no



Form is laid down to cover a contingency, Form as may be approved by the
Commission shall be used.

By order of the Commission
-Sd-
Secretary

¹ Published in R.H.P dated 6th April, 2011 at p. 209.

Compendium of HPERC Regulations, March 2021

**1 [HPERC (Formats for Multiyear Tariff Filings by the Transmission Licensee)
Order, 2011]**

NOTIFICATION

Dated: 2nd April, 2011

No. HPERC/Trans./479(Reg.) In exercise of the powers conferred by sub-regulation (1) of regulation 36 and regulation 47 of the Himachal Pradesh Electricity Regulatory Commission (Terms and Conditions for Determination of Transmission Tariff) Regulations, 2011 and regulations 8 and 10 of the Himachal Pradesh Electricity Regulatory Commission (Guidelines and Formats for Tariff Filing) Regulations, 2005, the Himachal Pradesh Electricity Regulatory Commission, hereby makes the following Order laying down formats for the Multi Year Tariff filings by the transmission licensee, namely:-

ORDER

- 1. Short title:-** This order may be called the Himachal Pradesh Electricity Regulatory Commission (Formats for Multi Year Tariff Filings by the Transmission Licensee) Order, 2011.
- 2. Definitions.-** In this order, unless the context otherwise requires,-
 - (a) “**Act**” means the Electricity Act, 2003 (Act No. 36 of 2003);
 - (b) “**Annexure**” means the annexure to this Order;
 - (c) “**Form**” means the Form annexed to this Order;
 - (d) “**regulations**” means the regulations made under the Act;
 - (e) the words and expressions used and not defined in this Order but defined in the Act and the regulations framed thereunder shall have the meanings as assigned them in the Act.
- 3. Forms for Multi Year Tariff Filings by the Transmission Licensee.-** (1) The Multi Year Tariff filing by the transmission licensee shall be in the Forms as given in the Annexure to this Order and hosted on the website of Himachal Pradesh Electricity Regulatory Commission i.e www.hperc.org

(2) The forms laid down in the Annexure to this Order, with such modifications or variations, as the circumstance of each case may require, shall be used for the purpose mentioned therein and where no



Form is laid down to cover a contingency, Form as may be approved by the Commission shall be used.

By order of the Commission
- Sd-
Secretary

¹ *Published in R.H.P dated 6th April, 2011 at p. 210.*

Compendium of HPERC Regulations, March 2021

301

1 [HPERC (Formats for Multiyear Tariff Filings by the Generating Company) Order, 2011]

NOTIFICATION

Dated: 2nd April, 2011

No. HPERC/Gen./479(Reg.) In exercise of the powers conferred by sub-regulation (1) of regulation 35 and regulation 47 of the Himachal Pradesh Electricity Regulatory Commission (Terms and Conditions for Determination of Hydro Generation Tariff) Regulations, 2011 and regulations 8 and 10 of the Himachal Pradesh Electricity Regulatory Commission (Guidelines and Formats for Tariff Filing) Regulations, 2005, the Himachal Pradesh Electricity Regulatory Commission, hereby makes the following Order laying down formats for the Multi Year Tariff filings by the generating company, namely:-

ORDER

- 1. Short title:-** This order may be called the Himachal Pradesh Electricity Regulatory Commission (Formats for Multi Year Tariff Filings by the Generating Company) Order, 2011.
- 2. Definitions.-** In this order, unless the context otherwise requires,-
 - (a) “Act” means the Electricity Act, 2003 (Act No. 36 of 2003);
 - (b) “Annexure” means the annexure to this Order;
 - (c) “Form” means the Form annexed to this Order;
 - (d) “regulations” means the regulations made under the Act;
 - (e) the words and expressions used and not defined in this Order but defined in the Act and the regulations framed thereunder shall have the meanings as assigned them in the Act.
- 3. Forms for Multi Year Tariff Filings by the Generating Company.-** (1) The Multi Year Tariff filing by the generating company shall be in the Forms as given in the Annexure to this Order and hosted on the website of Himachal Pradesh Electricity Regulatory Commission i.e www.hperc.org

(2) The forms laid down in the Annexure to this Order, with such modifications or variations, as the circumstance of each case may require, shall be used for the purpose mentioned therein and where no



Form is laid down to cover a contingency, Form as may be approved by the Commission shall be used.

By order of the Commission
-Sd-
Secretary

¹ Published in R.H.P dated 6th April, 2011 at p. 211.

Compendium of HPERC Regulations, March 2021

1[The Himachal Pradesh Electricity Regulatory Commission (Formats for Multi Year Tariff Filings by the Power System Operation Company / State Load Despatch Centre) Order,2011]

NOTIFICATION

Dated: 31st May, 2011

No. HPERC/SLDC/479(Reg.) In exercise of the powers conferred by regulations 30 (1) and 35 of the Himachal Pradesh Electricity Regulatory Commission (Levy and Collection of Fees and Charges by State Load Despatch Centre) Regulations, 2011 and regulations 8 and 10 of the Himachal Pradesh Electricity Regulatory Commission (Guidelines and Formats for Tariff Filing) Regulations, 2005, the Himachal Pradesh Electricity Regulatory Commission, hereby makes the following Order laying down formats for the Multi Year Tariff filings by the generating company, namely:-

ORDER

1. Short title and commencement:- This Order may be called the Himachal Pradesh Electricity Regulatory Commission (Formats for Multi Year Tariff Filings by the Power System Operation Company / State Load Despatch Centre) Order, 2011.

(2) This Order shall come into force on the date of its publication in the Rajpatra, Himachal Pradesh.

2. Definitions.- In this order, unless the context otherwise requires,-

- (a) “Act” means the Electricity Act, 2003 (Act No. 36 of 2003);
- (b) “Annexure” means the annexure to this Order;
- (c) “Form” means the Form annexed to this Order;
- (d) “regulations” means the regulations made under the Act;
- (e) the words and expressions used and not defined in this Order but defined in the Act and the regulations framed thereunder shall have the meanings as assigned

them in the Act.

3. Forms for Multi Year Tariff Filings by the Generating Company.- (1) The Multi Year Tariff filing by the Power System Operation Company / State Load Despatch Centre shall be in the Forms as given in the Annexure to this Order and hosted on HPERC website www.hperc.org.

(2) The Forms laid down in the Annexure to this Order, with such modifications or variations, as the circumstance of each case may require, shall be used for the purpose mentioned therein and where no



Form is laid down to cover a contingency, Form as may be approved by the Commission shall be used.

By order of the Commission
-Sd-
Secretary

¹ *Published in R.H.P. dated 2nd June, 2011 at p. 966-67-968.*

Compendium of HPERC Regulations, March 2021

333

Annexure
[See Para 3(1) of Order]

Index Of Regulatory Compliance Formats For Tariff Filing By Power System Operation Company:

A.SUMMARY FORMATS

- | | | |
|---|----|---|
| 1 | S1 | Annual Revenue Requirement |
| 2 | S2 | Return on Equity |
| 3 | S3 | Normative Parameters considered for tariff calculations |

B.FINANCIAL FORMATS

- | | | |
|----|-----|---|
| 4 | F1 | R&M Expenses |
| 5 | F2 | Employee Cost |
| 6 | F3 | Employees strength |
| 7 | F4 | Administration & General Expenses |
| 8 | F5 | Statement of Fixed Assets and Depreciation |
| 9 | F6A | Domestic loans, bonds and Financial leasing |
| 10 | F6B | Details of Project specific loans |
| 11 | F6C | Details of Foreign loans |
| 12 | F6D | Calculation of Weighted Average Rate of Interest on Actual Loans |
| 13 | F6E | Draw Down Schedule for Calculation of IDC & Financing Charges |
| 14 | F6F | Details of Allocation of corporate loans to various projects |
| 15 | F7 | Details of expenses Capitalised |
| 16 | F8 | Contribution Grants & subsidies towards Capital assets |
| 17 | F9 | Project-wise / Scheme-wise Capital Expenditure |
| 18 | F10 | Capital works in Progress |
| 19 | F11 | Working Capital Requirement |
| 20 | F12 | Abstract of Admitted Capital Cost for the existing Projects |
| 21 | F13 | Abstract of Capital Cost Estimates and Schedule of Commissioning for the New Projects |
| 22 | F14 | Element wise Break up of Capital Cost |
| 23 | F15 | Financial Package upto COD |
| 24 | F16 | Statement of Additional Capitalisation after COD |
| 25 | F17 | Financing of Additional Capitalisation |
| 26 | F18 | Share Capital and Reserves & Surplus |

Compendium of HPERC Regulations, March 2021

¹ [The Himachal Pradesh Electricity Regulatory Commission (Formats for Multi Year Tariff Filings by the Distribution Licensee) Order, 2013]

NOTIFICATION

Dated: 1st November, 2013

No. HPERC/F(5)(3)(1)(Retail).- In exercise of the powers conferred by sub-regulation (1) of regulation 35 and regulation 44 of the Himachal Pradesh Electricity Regulatory

Commission(Terms and Conditions for Determination of Wheeling Tariff and Retail Supply Tariff) Regulations, 2011 and regulations 8 and 10 of the Himachal Pradesh Electricity Regulatory Commission (Guidelines and Formats for Tariff Filing) Regulations, 2005, read with section 21 of the General Clauses Act, 1897 (Act No. 10 of 1987) and all the powers enabling it in this behalf, the Himachal Pradesh Electricity Regulatory Commission, hereby makes the following Order to revise the formats for the Multi Year Tariff filings by the distribution licensee for the control period starting from 1st April, 2014 and thereafter, namely:-

ORDER

- 1. Short title and commencement:-** (1) This Order may be called the Himachal Pradesh Electricity Regulatory Commission (Formats for Multi Year Tariff Filings by the Distribution Licensee) Order, 2013.
(2) This Order shall come into force on the date of its publication in the Rajpatra, Himachal Pradesh.
- 2. Definitions:-** In this order, unless the context otherwise requires,-
 - (a) “**Act**” means the Electricity Act, 2003 (Act No. 36 of 2003);
 - (b) “**Annexure**” means the annexure to this Order;
 - (c) “**Form**” means the Form annexed to this Order;
 - (d) “**regulations**” means the regulations made under the Act;
 - (e) the words and expressions used and not defined in this Order but defined in the Act and the regulations framed thereunder shall have the meanings as assigned them in the Act.
- 3. Forms for Multi Year Tariff Filings by the Distribution Licensee:-** (1) The Multi Year Tariff filing by the distribution licensee for the control period starting from the 1st day of April, 2014 and thereafter shall be in the Forms as given in the Annexure to this Order and hosted on hperc website www.hperc.org.

(2) The Forms laid down in the Annexure to this Order, with such modifications or variations, as the circumstance of each case may require, shall be used for the purpose mentioned therein and where no



Form is laid down to cover a contingency, Form as may be approved by the Commission shall be used.

By order of the Commission
-Sd-
Secretary

¹ Published in R.H.P dated 4th November, 2013 at p. 4596-4599

Compendium of HPERC Regulations, March 2021

365

Annexure

[See Para 3(1) of Order]

Index Of Regulatory Compliance Formats For Tariff Filing By Distribution Licensee:

		A.SUMMARY FORMATS
1	S1	Annual Revenue Requirement
2	S2	Return on Equity
3	S3	Expenditure Allocation into Fixed, Variable & Other Costs
4	S4	Energy Balance
5	S5	Truing Up
		B.FINANCIAL FORMATS
6	F1	Revenue from Tariff and Charges
7	F1a	Projection of sales,consumers & connected load
8	F1b	Monthly projections of Sales
9	F2	Revenue Grants & subsidies
10	F3	Income from investments and Non-Tariff Income
11	F4	Summary of HP Own Generation & Power Purchase
12	F4a	Power purchase Details
13	F4b	Intra State Transmission (TRANSCO) Charges
14	F5	R&M Expenses
15	F6	Employees' Cost & Provisions
16	F6A	Details of Employee Costs (actual expenditure incurred) 2012-13
17	F6B	Employee Strength & Deployment
18	F6C	Pension Contribution (other than Contributory Pension Scheme)
19	F6D	Employee strength for the Control Period
20	F7	Administration & General Expenses
21	F8	Statement of Fixed Assets and Depreciation
22	F9	Interest & Finance charges
23	F9a	Domestic loans,bonds and financial leasing
24	F9b	Details of Existing Loans
25	F9c	Details of New Loans for each MYT Year
26	F10	Details of Expenses Capitalised
27	F11	Other Debits
28	F12	Statement of Sundry Debtors & provision for bad & doubtful debtors
29	F13	Extraordinary Items
30	F14	Net Prior Period Expenses/Income
31	F15	Contribution Grants & subsidies towards Capital assets
32	F16	Project-wise / Scheme-wise Capital Expenditure
33	F17	Statements of assets not in use
34	F18	Investments
35	F18A	Investments in Non business related activities
36	F19	Current Assets and Liabilities
37	F20	Working Capital Requirement

38	F21	Provision for Income Tax
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Compendium of HPERC Regulations, March 2021

		C.TARIFF FORMATS
39	T1	Existing & Proposed Tariff
40	T2	Revenue from Current Tariffs in Ensuing Year
41	T3	Revenue from Proposed Tariffs in Ensuing Year
		D.QUALITY OF SERVICE FORMATS
42	P1	Revenue Arrears and Agewise Analysis
43	P1a	Revenue Arrears of Government and Agewise Analysis
44	P2	T&D Losses in LT and HT System
45	P2a	Losses in 33 KV system
46	P2b	Losses in 22 KV system
47	P2c	Losses in 11 KV system
48	P2d	Losses in LT system
49	P2e	Losses at EHV level
50	P2f	Circle-wise Losses
51	P3	Consumer Complaint
52	P4	Voltage Profile
53	P5	Technical Parameters
54	P6	Abstract of outages due to tripping in HT feeders
55	P7	Failure of Transformers
56	P8	Billing Periodicity
57	P9	Electrical Accidents
58	P10	Peak Demand
59	P11	Release of Customer bills
60	P12	Key Ratios
61	P13	Debt Restructuring
62	P14	Release of New service Connections
63	P15	Status of Metering
64	P16	Materials Management
65	P17	Audit Details
66	P18	Status of Pre-paid Metering
67	P19	Status of Unmanned sub-stations

Compendium of HPERC Regulations, March 2021**429**

1[The Himachal Pradesh Electricity Regulatory Commission (Formats for Multi Year Tariff Filings by the Transmission Licensee) Order, 2013]

NOTIFICATIONDated: 1st November, 2013

No. HPERC/F(5)(3)(1)(Trans) In exercise of the powers conferred by sub-regulation (1) of regulation 36 and regulation 47 of the Himachal Pradesh Electricity Regulatory Commission (Terms and Conditions for Determination of Transmission Tariff) Regulations, 2011 and regulations 8 and 10 of the Himachal Pradesh Electricity Regulatory Commission (Guidelines and Formats for Tariff Filing) Regulations, 2005, read with section 21 of the General Clauses Act, 1897 (Act No. 10 of 1987) and all the powers enabling it in this behalf, the Himachal Pradesh Electricity Regulatory Commission, hereby makes the following Order to revise the formats for the Multi Year Tariff filings by the transmission licensee for the control period starting from 1st April, 2014 and thereafter, namely:-

ORDER

1. Short title and commencement:- This Order may be called the Himachal Pradesh Electricity Regulatory Commission (Formats for Multi Year Tariff Filings by the Transmission Licensee) Order, 2013.

(2) This Order shall come into force on the date of its publication in the Rajpatra, Himachal Pradesh.

2. Definitions:- In this order, unless the context otherwise requires,-

- (a) “**Act**” means the Electricity Act, 2003 (Act No. 36 of 2003);
- (b) “**Annexure**” means the annexure to this Order;
- (c) “**Form**” means the Form annexed to this Order;
- (d) “**regulations**” means the regulations made under the Act;
- (e) the words and expressions used and not defined in this Order but defined in the Act and the regulations framed thereunder shall have the meanings as assigned them in the Act.

3. Forms for Multi Year Tariff Filings by the Transmission Licensee:- (1) The Multi Year Tariff filing by the Transmission Licensee for the control period starting from the 1st day of April, 2014 and thereafter shall be in the Forms as given in the Annexure to this Order and hosted on hperc website www.hperc.org.



(2) The Forms laid down in the Annexure to this Order, with such modifications or variations, as the circumstance of each case may require, shall be used for the purpose mentioned therein and where no



Form is laid down to cover a contingency, Form as may be approved by the Commission shall be used.

By order of the Commission
-Sd-
Secretary

¹ Published in R.H.P dated 5th November, 2013 at p. 4617-4619.

Compendium of HPERC Regulations, March 2021

Annexure

[See Para 3(1) of Order]

Index of Regulatory Compliance Formats for Tariff Filing By Transmission Company:

		A.SUMMARY FORMATS
1	S1	Annual Revenue Requirement
2	S2	Return on Equity
3	S3	Energy Balance
4	S4	Details of Transmission lines & substations
		B.FINANCIAL FORMATS
5	F1	R&M Expenses
6	F2	Employee Cost
7	F3	Employees strength
8	F4	Administration & General Expenses
9	F5	Statement of Fixed Assets and Depreciation
10	F6A	Domestic loans,bonds and Financial leasing
11	F6B	Details of Project specific loans
12	F6C	Details of Foreign loans
13	F6D	Calculation of Weighted Average Rate of Interest on Actual Loans
14	F6E	Draw Down Schedule for Calculation of IDC & Financing Charges
15	F6F	Details of Allocation of corporate loans to various projects
16	F7	Details of expenses Capitalised
17	F8	Contribution Grants & subsidies towards Capital assets
18	F9	Project-wise / Scheme-wise Capital Expenditure
19	F10	Capital works in Progress
20	F11	Working Capital Requirement
21	F12	Abstract of Admitted Capital Cost for the existing Projects
22	F13	Abstract of Capital Cost Estimates and Schedule of Commissioning for the New Projects
23	F14	Element wise Break up of Capital Cost
24	F15	Financial Package upto COD
25	F16	Statement of Additional Capitalisation after COD
26	F17	Financing of Additional Capitalisation
27	F18	Share Capital and Reserves & Surplus
		C.QUALITY OF SERVICE FORMATS
28	P1	Losses in the System
29	P2	Status of Metering
30	P3	Voltage Profile
31	P4	Frequency Excursion
32	P5	Abstract of outages due to tripping in HT feeders
33	P6	Failure of Transformers
34	P7	Major system disturbances
35	P8	Electrical Accidents
36	P9	Peak Demand

**1[The Himachal Pradesh Electricity Regulatory Commission (Formats for Multi Year
Tariff Filings by the Generating Company) Order, 2013]**

NOTIFICATION

Dated: 1st November, 2013

No.HPERC/F(5)(3)(1)(Gen).- In exercise of the powers conferred by sub-regulation (1) of regulation 35 and regulation 47 of the Himachal Pradesh Electricity Regulatory Commission(Terms and Conditions for Determination of Hydro Generation Tariff) Regulations, 2011 and regulations 8 and 10 of the Himachal Pradesh Electricity Regulatory Commission (Guidelines and Formats for Tariff Filing) Regulations, 2005, read with section 21 of the General Clauses Act, 1897 (Act No. 10 of 1987) and all the powers enabling it in this behalf, the Himachal Pradesh Electricity Regulatory Commission, hereby makes the following Order to revise the formats for the Multi Year Tariff filings by the generating company for the control period starting from 1st April, 2014 and thereafter, namely:-

ORDER

1. Short title and commencement:- This Order may be called the Himachal Pradesh Electricity Regulatory Commission (Formats for Multi Year Tariff Filings by the Generating Company) Order, 2013.

(2) This Order shall come into force on the date of its publication in the Rajpatra, Himachal Pradesh.

2. Definitions:- In this order, unless the context otherwise requires,-

- (a) **“Act”** means the Electricity Act, 2003 (Act No. 36 of 2003);
- (b) **“Annexure”** means the annexure to this Order;
- (c) **“Form”** means the Form annexed to this Order;
- (d) **“regulations”** means the regulations made under the Act;
- (e) the words and expressions used and not defined in this Order but defined in the Act and the regulations framed thereunder shall have the meanings as assigned them in the Act.

3. Forms for Multi Year Tariff Filings by the Generating Company:- (1) The Multi Year Tariff filing by the generating company for the control period starting from the 1st day of April, 2014 and thereafter shall be in the Forms as given in the Annexure to this Order and hosted on hperc website www.hperc.org.

(2) The Forms laid down in the Annexure to this Order, with such modifications or variations, as the circumstance of each case may require, shall be used for the purpose mentioned therein and where no



Form is laid down to cover a contingency, Form as may be approved by the Commission shall be used.

By order of the Commission
-Sd-
Secretary

¹ Published in R.H.P dated 4th November, 2013 at p. 4606-4608.

Compendium of HPERC Regulations, March 2021

Annexure

[See Para 3(1) of Order]

Index Of Regulatory Compliance Formats For Tariff Filing By Generating Company:

		A.SUMMARY FORMATS
1	S1	Annual Revenue Requirement
2	S2	Return on Equity
3	S3	Details of COD, Type of hydro station, Capacity Index, Primary energy rate
i.	S3A	Design energy and peaking capability (month-wise)- ROR with Pondage/ Storage type new stations
ii.	S3B	Design energy and MW Continuous (month-wise)- ROR type stations
4	S4	Salient features of Hydroelectric Project
		B.DETAILES FINANCIAL FORMATS
1	F1	Calculation of O&M Expenses
2	F2	Details of O&M Expenses
i.	F2A	Employee Expense
ii.	F2B	R&M Expense
iii.	F2C	A&G Expense
3	F3	Abstract of Admitted Capital Cost for the existing Projects
4	F4A	Abstract of Capital Cost Estimates and Schedule of Commissioning for the New Projects
5	F4B	Break up of Capital cost for Hydro Power Generating Station in New Projects
6	F4C	Break up of Capital Cost for Plant & Equipment
7	F4D	Financial Package up to COD
8	F5	Statement of Additional Capitalization after COD
9	F6	Financing of Additional Capitalization
10	F7	Details of Fixed Assets & Provision for Depreciation
11	F8A	Details of Project Specific Loans
12	F8B	Details of Foreign loans
13	F8C	Calculation of Weighted Average Rate of Actual Loans
14	F8D	Draw Down Schedule for Calculation of IDC & Financing Charges
15	F8E	Details of Allocation of corporate loans to various projects
16	F9	Details of Expenses Capitalized
17	F10	Contribution Grants & subsidies towards Capital assets
18	F11	Share Capital and Reserves & Surplus
19	F12	Working Capital Requirements
20	F13	Project-wise / Scheme-wise Capital Expenditure
21	F13A	F13A Capital Works in Progress

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Compendium of HPERC Regulations, March 2021

473

C.OTHER INFORMATION/ DOCUMENTS	
1	Certificate of incorporation, Certificate for Commencing Business, Memorandum of Association & Article of Association (for new station set up by a company making tariff application for the first time to HPERC)
2	Station-wise and Corporate AUDITED Balance Sheet (Annually) and Profit & Loss Accounts with all the Schedules & annexure on COD of the station and for the relevant years on Quarterly Basis
3	Copies of relevant loan agreements
4	Copies of the approval of Competent Authority for the Capital Cost and Financial package.
5	Copies of the Equity participation agreements and necessary approval for the foreign equity
6	Copies of the BPSA/PPA with the beneficiaries, if any
7	Detailed note giving reasons of time and cost overrun, if applicable.
8	Any other relevant information (Please specify)

Compendium of HPERC Regulations, March 2021

1[The Himachal Pradesh Electricity Regulatory Commission (Formats for Multi Year Tariff Filings by the Power System Operation Company / State Load Despatch Centre) Order,2013]

NOTIFICATION

Dated: 1st November, 2013

No. HPERC/F(5)(3)(1)(SLDC).- In exercise of the powers conferred by regulations 30 (1) and 35 of the Himachal Pradesh Electricity Regulatory Commission (Levy and Collection of Fees and Charges by State Load Despatch Centre) Regulations, 2011 and regulations 8 and 10 of the Himachal Pradesh Electricity Regulatory Commission (Guidelines and Formats for Tariff Filing) Regulations, 2005, read with section 21 of the General Clauses Act, 1897 (Act No. 10 of 1987) and all the powers enabling it in this behalf, the Himachal Pradesh Electricity Regulatory Commission, hereby makes the following Order to revise the formats for the Multi Year Tariff filings by the Power System Operation Company for the control period starting from 1st April, 2014 and thereafter, namely:-

ORDER

1. Short title and commencement:- This Order may be called the Himachal Pradesh Electricity Regulatory Commission (Formats for Multi Year Tariff Filings by the Power System Operation Company / State Load Despatch Centre) Order, 2013.

(2) This Order shall come into force on the date of its publication in the Rajpatra, Himachal Pradesh.

2. Definitions:- In this order, unless the context otherwise requires,-

- “Act”** means the Electricity Act, 2003 (Act No. 36 of 2003);
- “Annexure”** means the annexure to this Order;
- “Form”** means the Form annexed to this Order;
- “regulations”** means the regulations made under the Act;
- the words and expressions used and not defined in this Order but defined in the Act and the regulations framed thereunder shall have the meanings as assigned them in the Act.

3. Forms for Multi Year Tariff Filings by the Power System Operation Company:-(1) The Multi Year Tariff filing by the Power System Operation Company / State Load Despatch Centre for the control period starting from the 1st day of April, 2014 and thereafter, shall be in the Forms as given in the Annexure to this Order and hosted on hperc website www.hperc.org.



(2) The Forms laid down in the Annexure to this Order, with such modifications or variations, as the circumstance of each case may require, shall be used for the purpose mentioned therein and where no



Form is laid down to cover a contingency, Form as may be approved by the Commission shall be used.

By order of the Commission
-Sd-
Secretary

¹ Published in R.H.P dated 5th November, 2013 at p. 4626-4628.

Compendium of HPERC Regulations, March 2021

509

Annexure

[See Para 3(1) of Order]

Index Of Regulatory Compliance Formats For Tariff Filing By Power System Operation
Company:

		C.SUMMARY FORMATS
1	S1	Annual Revenue Requirement
2	S2	Return on Equity
3	S3	Normative Parameters considered for tariff calculations
		D.FINANCIAL FORMATS
4	F1	R&M Expenses
5	F2	Employee Cost
6	F3	Employees strength
7	F4	Administration & General Expenses
8	F5	Statement of Fixed Assets and Depreciation
9	F6A	Domestic loans,bonds and Financial leasing
10	F6B	Details of Project specific loans
11	F6C	Details of Foreign loans
12	F6D	Calculation of Weighted Average Rate of Interest on Actual Loans
13	F6E	Draw Down Schedule for Calculation of IDC & Financing Charges
14	F6F	Details of Allocation of corporate loans to various projects
15	F7	Details of expenses Capitalised
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18	F10	Capital works in Progress
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22	F14	Element wise Break up of Capital Cost
23	F15	Financial Package upto COD
24	F16	Statement of Additional Capitalisation after COD
25	F17	Financing of Additional Capitalisation
26	F18	Share Capital and Reserves & Surplus