

In pursuance of the provisions of clause (3) of Article 348 of the Constitution, the Governor is pleased to order the publication of the following English translation of **Notification no. 866 /LXXXVI 2017-132-2016, Dated May 15, 2017**

No. 866/LXXXV1-2017-132-2016

Dated Lucknow May 15, 2017

In exercise of the powers conferred by Sub - Section (3) of Sections 9-B, 15 and 15A of the Mines and Minerals (Regulation and Development) Act, 1957 (Act no 67 of 1957), the Governor is pleased to make the following rules with a view to regulating the composition and functions of the District Mineral Foundation Trusts established in Government notification no 489/86-2017-132-2016 dated April 25, 2017 and prescribing the manner for carrying development activities in the affected areas of mining activities.

THE UTTAR PRADESH DISTRICT MINERAL FOUNDATION TRUST RULES, 2017

Short title, extent	1.	(1) These rules may be called the District Mineral
Foundation and commencement		Trust Rules, 2017.
		(2) They shall apply to all minerals except mineral oil.
		(3) They shall be deemed to have come into force on the 12 th day of January, 2015.
Definitions	32.	(1) In these Rules, unless the context otherwise requires,
-		(a) 'Act' means the Mines and Minerals
(Development and		Regulation) Act, 1957, as amended from time
to time;		(b)
'Affected area' means-Directly affected areas and indirectly		affected areas
as specified in rules 2A and 2B;		
persons as		(c) Affected people shall include directly affected
		specified in rule 2C;
		(d) 'Fund' means the Fund of a Trust;
		(e)
'Government' means the State Government of Uttar Pradesh;		(f) 'Holders of
concessions' means any holder of mining lease,		prospecting licence or mining permit
granted under the		provisions of the Act and the rules made
thereunder;		(g) 'Minerals' and 'Minor minerals' means such
minerals as		defined in section 3 of the Act;
Minerals		(h) 'Rules 2021' means the Uttar Pradesh Minor
		(Concession) Rules, 2021;
		(i) 'Schedule' means a Schedule to the Act;
notified in		(j) 'Trust' means 'District Mineral Foundation' Trust
dated		Government notification no.489/86-2017-132-2016,
		April 25,2017;
		(k) 'Trust Deed' means the deed executed by State
Government		in favour of the Trustees;
		(l) Trustee/Trustees' means the person/persons

appointed by the
Trust;

State Government for the purpose of governing the

(2)

Words and expressions not defined in these rules shall have the same meaning as assigned to them under the Act and Rules of 2021.

Directly affected

2A.

(1) Directly affected areas Shall be, -

3Third Amendment Dated 29.09.2025

areas

Bodies

neighboring

of mines

beyond

mines have

mining areas

and

for

- (a) Villages and gram panchayats or Urban Local (ULBs) within which the mines are situated and are operational. Such mining areas may extend to village/town/ block within district;
- (b) An area within such radius from a mine or cluster as may be specified by the State Government: Provided that directly affected area shall not extend 15 km from the boundary of the mines of minerals;
- (c) Villages/wards in which families displaced by been resettled/rehabilitated by the project authorities;
- (d) Villages/wards that significantly depend on the for meeting their economic needs and have usufruct traditional rights over the project are as, for instance, grazing, collection of minor forest produce etc.

(2) The District Mineral Foundation (DMF) shall prepare and maintain an updated list of such directly affected areas.

Indirectly affected

areas

2B.

(1) Indirectly affected areas shall be, -

affected on

operations of minerals.

environmental

- (a) those areas where local population is adversely account of economic, social and consequences due to mining-related

The major negative impacts of mining could be by way of deterioration of water, soil and air quality, reduction in stream flows and depletion of ground water, congestion and pollution due to mining operations, transportation of minerals, increased burden on existing infrastructure and resources;

(b) an area beyond the directly affected area and within such radius from a mine or cluster of mines as may be specified by the State Government, but not more than 25 km from boundary of mines of mineral.

(2) The District Mineral Foundation (DMF) shall prepare and maintain an updated list of indirectly affected areas.

Affected people

2C.

(1) Affected people shall include, -

the Right

Rehabilitation and Resettlement Act, 2013 (Act

- directly affected persons who shall be,
- (i) 'Affected family' as defined under section 3(c) of to Fair Compensation and Acquisition, Transparency in Land no.30 of 2013);
- (ii) 'Displaced family' as defined under section 3(k) of

the Right	to	Fair Compensation	and
Transparency	in	Land	Acquisition,
Rehabilitation and Resettlement Ad, 2013 (Act	no.30 of 2013);		
the concerned	(iii) any other people as appropriately identified by		
or	gram Sabha/Urban Local Bodies (ULBs) in directly		
	indirectly affected areas.		
	(b) persons affected by mining who have legal and		
	occupational rights over they land being mined;		
	people with usufruct and traditional rights; and those		
	people whose lively hoods have been affected due to		
	mining.		
	(2) Affected families shall be identified, as far as possible, in		
	consultation with local/elected representatives		
	of gram Sabha/Urban Local Bodies (ULBs) in		
	directly or indirectly affected areas.		
	(3) The District Mineral Foundation (DMF) shall		
prepare and	maintain as updated list of such affected		
people/local	communities. The list shall be revisited for updation		
at least	once every 5 years."		
Objectives of the	3. The objectives of the trust will be:-		
trust			
	(i) To work for the interest and benefit of persons		
and areas	affected by mining operations or other related		
activities and	transportation of minerals;		
	(ii) To utilize the funds collected in the District		
Mineral	Foundation for the benefit of affected person or		
areas;	(iii) To utilize the funds on the advice of concern		
Gram	Panchayat to develop the village road, watering		
place and	other general facilities.		
Composition and	2 & 3 4. The Composition and management of the Trust shall be: -		
Management of the			
Trust			
	(1) The trust shall consist of a Governing Council and a		
	Managing Committee.		
	(2) The authority to manage the trust shall vest in the		
	Governing Council.		
	(3) The governing Council shall consist of, -		
	(a) District Officer / Collector-	Chairperson;	
		Member; (d) E	
District Panchayati Raj Officer-	Ex-		
officio		Member;	
official	by District Officer -	Member, (i)	
One representative of the implementing	Non-		
official	agencies using the minerals, if any, as	Member, nom	
	(j) Representatives of the directly affected	Non-official	
(k) (i)	In case of one Member of Parliament		
(MP) in the Lok	Sabha in a district, MP		
shall be a member of	Governing Council.		

In case there is more than one MP of Lok Sabha in a district all MPs having mining affected areas as part of their constituency shall be member of the Governing

²Second Amendment Dated 17.08.2021 and ³Third Amendment Dated 29.09.2025

Council.

In case constituency of one MP of Lok Sabha falls in more than one district, MP shall be member of Governing Council of all such districts where mining affected area is a part of his/her constituency.

- (ii) Member of Parliament in Rajya Sabha from the State shall be the member of Governing Council of one district selected by him/her.

The Member of Parliament in Rajya Sabha shall intimate name of the district selected by him/her to the Secretary in-charge of the mining department of the State, who in turn shall inform about the same to be concerned District Magistrate.

- (iii) The Members of Legislative Assembly (MLAs) of the district having mining affected area as part of their constituency shall be the member of Governing Council.

- (iv) The Members of Legislative Council of the State (MLC) shall be a member of Governing Council of one district selected by him/her.

The MLC shall intimate name of the district selected by him/her to the Secretary in-charge of the mining department of the State, who in turn shall inform about the same to the concerned District Magistrate.

- (4) The tenure of a non-official member shall be for three years.

(5) Ex-officio member shall cease to hold office when he/she ceases to hold the Government post.

(6) The day-to-day functioning of the Trust shall vest in the Managing Committee.

(7) (a) The Managing Committee shall consist of, -

(i) District Officer/Collector-
Chairperson; (ii)

Additional District Officer (Finance
Ex-officio and
Revenue) - Member;

(iii) One of the Sub-Divisional Magistrate Ex-
officio

as nominated by District Officer-
Member;

(iv) District Mines Officer -
Ex-officio
Member

Secretary;

(8) The tenure of the Ex-officio member of the managing committee shall end when he/she ceases to hold the Government post.

Functions of the 5.
more than 50% trust

(1) Periodic meetings of the Trust in the presence of

member as mentioned in rule 4 will be held under the chairmanship of the District Officer and as far as meeting will be organized monthly.

possible, such

(2) Proposals for the benefit of the persons and area affected by mining operations shall be prepared and be submitted by concern Mining Officer/Mining Inspector in consultation with concerned department.

(3) The proposal may be of following nature :-

(a) Basic infrastructure of the area eg. construction and maintenance of approaching road, electricity, sanitation, drinking water facility, hand pump and other public utility work;

(b) Common plantation in/around area affected by mining operations;

(c) Any other activities approved by the Trust in the interest of Mineral Development.

(4) In the meeting of the Trust proposal submitted by the Mining Officer/Mining Inspector shall be examined. The Trust may approve, modify or reject the proposal.

(5) In Schedule Areas and Tribal Areas, recommendation of Gram panchayat shall be obtained before implementation of the approved proposal by the Trust.

Powers and 36.
functions of the
Governing Council-

The Governing Council shall be responsible for,-

functioning of the Trust and review its working from time to time;

(ii) drawing up and approving of Annual Action Plan and the Annual Budget of the Trust. The Annual Action Plan must be prepared and approved by the Governing Council at least one month before the

commencement of the financial year. The Annual Action Plan shall contain the list of schemes and projects with the tentative provisions thereof. The Five Year perspective plan shall be prepared and approved by the Governing Council of the District Mineral Foundation (DMF) and displayed on the website of the DMF:

Provided that if for any reason whatsoever, the Governing Council could - not prepare and accord approval to the Annual Plan, Five year perspective plan and the Budget within the specified time, the

Chairperson shall have power to prepare the Annual Action Plan, Five Year Plan and the Budget of the Trust and to approve the same recording the reasons therefor. The Budget so prepared shall be deemed to have been duly prepared and approved by the Governing Council.

Provided further that while preparing the Annual Plan for the next financial year, the sum total of the past commitment and liabilities spilling over shall be assessed. To maintain financial discipline and timely completion of project, the sum total of the past liabilities and commitments and the new schemes being proposed should not in any case exceed the three times the expected inflows in the Trust fund for the next financial year,

(iii) approving such other expenditure, in furtherance of the objects of the Trust from the available Trust Fund in such manner as may be prescribed by the State Government;

(iv) approving the recommendations of the Managing Committee; (v) approving the annual reports and audited accounts of the Trust within 90 days of the closure of the previous year.

Meeting of the 37. (1) necessary but at Governing Council

The Governing Council shall meet as often as least once every quarter. The date of Meeting of the Council shall be fixed as per the convenience parliament who are members of the Governing Council.

(2) The Meeting of Governing Council shall be convened as directed by the Chairman.

(3) the total	The Quorum for such meeting shall be one third of members of the Governing Council.
3Third Amendment Dated 29.09.2025	
meeting of the 8. held at least six managing committee decided by the	The meeting of the Managing Committee shall be times in a financial year and it shall be convened as Chairman of the Managing Committee.
Powers and 39. Functions of the Managing Committee-	The Managing Committee shall, -
protecting the the concern provisions of the guidelines of the Central Government issued from time to time; Plan / Vision Document for the activities of the	(i) exercise due diligence in carrying out its duties for interests of the Trust; (ii) Ensure timely collection of contribution fund from Mining Lease Holders in accordance with the Act, Rules made thereunder and the Government and State (iii) prepare the Master Trust;
Year Perspective Trust along with the proposed	(iv) assist in the preparation of the Annual Plan, Five Pla and the Annual Budget of the Schemes and Projects;
Plan, Five Year Schemes and Projects; release and disburse the Trust Fund	(v) supervise and ensure the execution of the Annual Perspective Plan and the approved (vi) accord sanction to the projects for the purpose;
diligent manner name of the Trust and operate investments;	(vii) operate the Trust Fund and to invest the same in a and to open Bank Accounts in the such Accounts and
Report before the approval within 90 days of close of	(viii) monitor the progress of the utilization of Trust Fund; (ix) place the audited accounts along with an Annual Governing Council for its financial year;
(x) do all other things which are necessary for smooth functioning and management of the Trust; Trust.	(xi) regulate the procedures for the functioning of the
contribution to the 10. (1) trust fund of (Development and to the royalty, operations are in terms of the payable by the prescribed by the	In case of major minerals- (a) the holder of a mining lease granted before the date commencement of the Mines and Minerals Regulation) Amendment Act, 2015, shall in addition pay to the Trust of the district in which the mining carried on, an amount not exceeding the royalty paid the Second Schedule in such manner and subject to categorization of mining leases and the amounts various categories of lease holders, as may be Central Government;

Act, of the district amount which is terms of the royalty, as may	(b) the holder of a mining lease or a prospecting license-cum-mining lease granted on or after the date of commencement of the Mines and Minerals (Development and Regulation) Amendment 2015 shall in addition to the royalty, pay to the Trust in which the mining operations are carried on, an equivalent to such percentage of the royalty paid in Second Schedule, not exceeding one- third of such be prescribed by the Central Government.
(2) in addition to	In case of minor minerals - The holder of every mineral concession/permit shall
3Third Amendment Dated 29.09.2025	the royalty, pay to the Trust of the district in which the mining operations are carried on, an amount which is equivalent to 10% of royalty or as may be prescribed by the State Government from time to time.
Expenditure from 311. for all or any the Trust Fund	(3) responsible for Trust the Trust.
Rules 17; utilized for the the foundation.	The funds available with the Trust Fund can be used of the following purposes: - (i) expenditure on the approved works mentioned in (ii) upto 5% of the annual receipt of the trust may be Administrative, supervisory and overhead costs of
Accounts and Audit 312. to be of the Trust	(1) The Managing committee shall maintain and/or cause
records with picture of the	maintained proper books of accounts, documents and respect to the Trust Fund to give a true and fair affairs of the Trust;
by Chartered thereof shall be the annual	(2) The accounts of the Trust shall be audited every year Accountant appointed by the DMF and the report placed on the website of the foundation along with report;
appointed by the such terms	(3) The Chartered Accountant of the trust shall be Trustees in the meeting of the Governing Council, on and conditions as may be decided by the Trustees;
Trustees;	(4) The Chartered Accountant may be replaced by the
compliance of the and Mining,	(5) The Trust shall submit an annual report and audit report to the Director, Directorate of Geology Uttar Pradesh;
(6)	The Trust shall submit an annual report and compliance of the audit report to the Government, within one month from date of its approval as per Rule 9(ix) of these Rules and the same shall be

placed on website of the foundation;

- | | | |
|--|------|--|
| Comptroller and (CAG) in | (7) | The accounts of the Trust, may be audited by the Auditor General (CAG) as per schedule decided by consultation with State Government; |
| (1) the State may request year or period Government; | (8) | Notwithstanding anything to the contrary in sub rule Government may appoint a Chartered Accountant or the Auditor General (A.G.) for audit of a particular on such terms as may be decided by the State |
| Annual Plan financial year, to the publication on their | (9) | The Trust shall forward the approved Budget and along with Schemes and Projects for the next District Panchayat, District Administration for respective website. |
| | (10) | The Trust shall prepare a quarterly progress report in physical and financial terms in respect of the approved Schemes and Projects within 45 days of the close of the quarter and forward it to the District Panchayat and District Administration for publication on their respective website. |
| Management of the Governing Council, trust However, the by a rule 4 above. | 13. | The management of the trust shall vest in a which shall consist of all the members of the Trust. day to day management of the Trust shall be managed Managing Committee, as defined in Sub - Rule (7) of |

The State Government may however decide to alter the

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- | | | | |
|---|-----|-----|--|
| Decisions of The meeting of the trustees | 14. | (1) | composition of the Managing Committee at any time
All decisions shall be taken by the Trustees in the Governing Council and every meeting of the shall be deemed to be a meeting of the Trust. |
| Governing Council | | (2) | All decisions of the Governing Council shall be taken by the majority of the members present and voting. In case of tie the Chairman of the meeting shall have a casting vote. |
| | | (3) | Unless agreed by the State Government the Trustees shall not amend any part of the Trust Deed. |
| | | (4) | Trustees, Governing Council and the Managing Committee shall act in accordance with the directions, guidelines etc. issued by the State Government from time to time. |
| Operation of The Commercial Trust fund Trust. The bank State operated under the and a member of the Managing Committee. | 15. | | The Trust fund shall be kept in any scheduled Nationalized Bank only in the name of the account shall be opened with the prior approval of Government and the account thereof shall be joint signatures of the Member - Secretary Managing Committee authorized by the |
| Scope of the Trust (PMKKY) and other | 16. | (1) | The Trust shall maintain the books of account of this Fund.
The Pradhan Mantri Khanij Kshetra Yojana welfare schemes of the State and Central |

Government shall be
districts using the

implemented by the Trust of the respective
funds accruing to the Trust.

(2) The overall objective of the said yojana and other
welfare schemes of the State and Central
Government shall be, -

(a) to implement various developmental and
welfare projects/programs in mining
affected areas, and such projects/ programs will be
complement to the existing ongoing schemes/projects of
the State and the Central Government; (b) to
minimize/mitigate the adverse impacts, during and after mining,
(c) to ensure long-term sustainable livelihoods for the affected
people in mining areas.

(3) Activities meant to be taken up under the "Polluter
Pays Principle" shall not be taken up under the Pradhan Mantri
Khanij Kshetra Kalyan Yojana (PMKKKY).

(4) The DMFs shall focus on achieving the Sustainable
Development Goals (SDGs) in mining affected areas. While
formulating schemes, DMFs will give priority to achieving
targets under Aspirational Districts Programme and Aspirational
Block Programme.

(5) The DMF shall ensure there is no duplicacy in usage
of funds.

Utilization of Trust 1,2&3 17.
for, - Funds

The funds available with the Trust shall be utilized

utilized under

(A) High priority areas-at least 70% fund shall be
following heads: -

(a)

Drinking water supply-Centralized purification systems, water
treatment plants, permanent temporary water distribution network
including stand alone facilities for drinking water, laying of
water supply system;

piped

¹First Amendment Dated 18.03.2020, ²Second Amendment Dated 17.08.2021 and ³Third Amendment Dated 29.09.2025

(b) **Environment preservation and pollution control
measures-**

Effluent treatment plants, prevention of
pollution of streams, lakes, ponds, ground water, other
water sources in the region, measures for controlling air and dust
pollution caused by mining operations and dumps, mine
drainage system, mine pollution prevention technologies,
measures for working or abandoned mines, other air, water
and surface pollution control mechanisms, preparation of
scientific district survey report for environment friendly and
sustainable mine development and providing necessary
equipment and personnel for the creation of technical
infrastructure to control illegal mining transportation with the
purpose of environmental protection and pollution
control. Identification of mineral-specific pollutants and
their hazard potential may be done by involving reputed
educational institutes/research institutions. The fund may be

- allocated for the identification of the hazards, setting up air quality monitors and displays, and undertaking measures for the implementation of the recommendations of the research;
- (c) **Health care**-The focus must be on people whose health is affected due to mining creation of primary/secondary/tertiary health care facilities in the affected areas. The emphasis should not be only on the creation & the health care infrastructure, but also provision of providing necessary staff (Doctors/paramedical/equipment and supplies required for making such facilities effective. To that extent, the effort should be to supplement and working convergence with the existing health care infrastructure of the local bodies, State and Central Government. The expertise availability with the National Institute of Mines Health may also be drawn upon to design special infrastructure needed to take care of mining related illness and diseases, mobile health care units in the mining affected areas. Group insurance Scheme for health care may be implemented for mining affected persons;
- (d) **Education**-Construction of school/college/vocational/training institute buildings, additional class room Laboratories, Libraries, arts and craft room toilet blocks, drinking water provision residential hostels for students/teachers' remote areas, sports infrastructure, vocation training facility, engagement teachers/other supporting staff, e-learning setup, other arrangement of transport facilities (bus/van/cycles/rickshaws/etc.) and nutrition related programs. Financial support to students of affected areas for pursuing education in Government/Government aid institutions of higher education;
- (e) **Welfare of women and children** Special programs for addressing problems maternal and child health, malnutrition adolescence, infectious disease etc. can taken up under the Trust;
- (f) **Welfare of aged and disabled people** Special programme for welfare of aged disabled people and financial assistance District Disability Rehabilitation Cent (DDRCs);
- (g) **Skill development and Livelihood generation**-Skill development for livelihood support, income generation and economic activities for local eligible persons. The project/schemes may include training. development of vocational/skill development center, self-employment schemes, support to Self-help groups and provision of forward and backward linkages for such self-employment economic activities, works to improve and showcase the arts and crafts of the affected people and areas, collection and processing of minor forest produce;
- (h) **Sanitation**-Collection, transportation and disposal of waste, cleaning of public places, provision of proper drainage and sewage treatment plant, provision for disposal of fecal sludge,

provision of toilets and other related activities;

(i) Housing-Provision of Pucca housing for mining affected people not covered under central or state schemes;

(j) Agriculture-Activities related to Agriculture, Horticulture and Agroforestry. Assistance to farmers through trainings, support to Farmer Producer Organization (FPOs)/collective's/cooperatives, support for setting up of food processing units, storage including cold storage, marketing facilities like market yards etc., plantation, processing of medicinal herbs;

(k) Animal Husbandry: Promotion of livestock, poultry, piggery, fishery, feed and fodder development and supporting innovation in animal husbandry, Farmers Producer Organizations (FPOs), Self Help Groups (SHGs), Farmer Cooperative Organizations (FCOS).

(B) Other priority areas-Up to 30% Fund shall be utilized under the following heads-

(a) Physical infrastructure-Providing required physical infrastructure and its maintenance-roads, bridges, railway and waterways projects.

(b) Irrigation Developing alternate source of irrigation, adoption of suitable and advanced irrigation techniques, assistance for micro irrigation facilities including drip irrigation, assistance for bore wells and pump energization.

(c) Energy and Watershed Development-Development of alternate source of energy rainwater harvesting system. Development of orchards, integrated farming and economic and restoration of catchments. and

(d) Any other measures for enhancing environmental quality in mines bearing district

(i) The overall development of the area affected by mining related operation in the district in accordance with the Annual Action Plan prepared by the trustees of the Foundation for the purpose;

(ii) Creation of the local infrastructure for socioeconomic purposes;

(iii) Providing, maintaining or upgrading of community assets and service for local population in the area affected by mining related operations;

(iv) Organizing or conducted training programs for skill development and capacity building for creating employment and self-employment capabilities:

Provided that a sum not exceeding 5% of the total funds received by the Trust in the year may be spent by the Trust for meeting its administrative or establishment expenses:

Provided further that the trust fund or any part thereof shall not be used for advancement of any loan or grants in cash to any of the beneficiaries:

Provided also that the trust fund or any part thereof shall be used for such purposes as directed by the

			Central Government/ State Government from time to time.
		(C)	A minimum of 70% of the DMF funds shall be spent directly affected area as specified in rule 2A.
	318.		Omitted
Monitoring of the District Mineral the Trust	19.	(1)	Every lessee shall remit the amount payable to the
as the officer to whom			Foundation Trust into the credit of such Bank account Foundation may specify, under intimation to the the royalty is payable.
		(2)	Every officer who is authorized to collect royalty shall maintain a register of the amount payable and paid by each lessee and furnish the monthly consolidated statement thereof to the Member Secretary of the Committee at the end of every month.
		(3)	To ensure a better coordination synergy among the schemes, the platform constituted under District Development Coordination and Monitoring Committee initiative of Ministry of Rural Development Government of India will monitor the schemes under PMKKKY at the district level in accordance with guidelines of the said committee.
Administrative personnel arrangements	20.	(1)	The State Governments shall provide services of the
the District execution of the			under their control including employees working in Panchayat for management of the Trust and for Annual Plan as may be required for the purpose.
		(2)	The Trust may request the State Government to provide required number of core personnel from its departments or from regular employees of the Zila Parishad or such other cadre, for providing administrative and technical assistance to the Trust. such personnel shall continue to remain in their own cadres. The Trust may bear expenditure up to 3% of funds for this purpose.
		(3)	The Trust may also ask service providers to provide such services as may be needed for smooth functioning of the Trust and may provide for incurring contingent expenditure for its functioning.
Liability of Trustees	21.	(1)	The Trustees shall not be liable on account of good faith, bonafide with due diligence. The Trustees not be liable or responsible for any banker, broker, other person in whole hands the same may, in good deposited or placed nor for the deficiency or value of any investments of the Trust Fund nor involuntary loss.
anything done in shall also custodian or faith, be insufficiency in the otherwise for any		(2)	The Trustees and every attorney or, agent appointed Trustees shall be entitled to be indemnified out of the in respect of all liabilities, losses and expenses
		by the Trust Fund	

		incurred in authorities, and those arising provided exceed the	execution of the Trust or any of the powers, discretions vested in or delegated to them other than out of gross negligence and/or willful misconduct, however that, such indemnity shall not in any event total of the Contributions.
Remuneration for their	22.		The Trustees shall not be entitled to any remuneration services.
The seal of the Trust may decide	23.		The Trustees, in a meeting of the Governing Council

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			to provide a Seal for the purpose of the Trust and shall have power from time to time to destroy the same and substitute a new seal in lieu thereof. The Seal of the Trust shall remain in the custody of the Chairman of the Executive Committee and the Chairman shall have the authority to use the same for and on behalf of the Trust.
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Revocability Government.	24.		This Trust is revocable at the discretion of the State
			The Trust shall continue to exist till such time as may be decided by the State Government. At the time of extinguishment of the Trust, all the assets and the liabilities of the Trust shall be transferred to the State Government.

Five years planning and yearly plan planning is implementation of (DMF) funds, the through Academic for perspective plan aid in preparation of use the baseline The reference in the Kshetra	325. (1)	For complete coverage of all affected people and areas in a perspective systematic and time bound manner, long-term essential. For proper utilization of funds for the works using District Mineral Foundation districts shall conduct a baseline survey Institutions/ renowned organizations agencies formulation. Gram Sabha/Local Bodies may need assessment reports. The DMF may also survey undertaken by any Department, if available. and major findings of the surveys shall be included Perspective Plans under the Pradhan Mantri Khanij Kalyan Yojana (PMKKKY) for the district.
	(2)	Based on the findings and gaps as identified through the baseline survey or any such survey/assessment, the DMF shall prepare a strategy for five years and the same shall be included in the Perspective Plan. The five-year Perspective Plan shall be prepared taking into account current balance available and likely accrual to the DMF over a period of five years. The five-year Perspective Plan shall be disaggregated into year-wise action plans.
	(3)	The five-year Perspective Plan shall have separate sections on all priority sectors like drinking water, health, education,

		welfare of irrigation,	women and children, etc. and other sectors like roads, etc.
		(4) The Governing Council of the DMF.	The five-year Perspective Plan shall be approved by Council of the DMF and displayed on the website of the DMF.
		(5) Governing perspective earlier years.	The Annual Plans of the DMF to be approved by Council each year shall be based upon the five-year plan and success achieved in fulfilling its targets in
			The Annual Plans may include some other works and expenditures considered urgent in nature although not included in the perspective plan to a maximum extent of 10% of the annual plan.
		(6)	The State Government may empanel
		(7) perspective be taken up	The Governing Council shall approve the five years plan and annual plan comprising of list of projects to in financial years.
Restriction on fund transfer from DMF	326.	(1)	In respect to the DMF funds of the districts, -
District		(i)	No fund shall be transferred in any manner from the
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			Mineral Foundations to the State exchequer or State level fund or Chief Minister's Relief Fund or any other funds or schemes.
			No sanction or approval of any expenditure out of the
		fund of the State level by the agency;	District Mineral Foundation shall be done at the State Government or any State level
		(ii)	No fund shall be spent outside directly or indirectly affected areas within a district as defined in rules 2A and 2B;
		(iii)	No fund shall be transferred in any manner from one district to another district
		(2)	The approval of expenditure of funds from DMF shall lie solely with the Governing Council of DMF. The State Government or State level Committee shall not have overarching sanction of projects, approval of funds/expenditure and their function shall be limited to monitoring effective implementation of the projects sanctioned.
Transparency and website/or a specific	327.	(1)	Each Foundation will prepare and maintain a
on which, and kept			section on the website of the District Administration <i>inter-alia</i> , the following information will be hosted updated: -
Managing		(i)	Details of composition of the Governing Council and Committee of DMF:
(including periodic		(ii)	List of are as and people affected by mining
		(iii)	update);

Quarterly details of all contributions received from lessees and		
(ATRs) of	(iv)	All meeting agenda, minutes and action taken reports the DMF:
endowment	(v)	5 years Perspective Plan, details of investment of fund, Annual Plans and budget, work orders and within 30 days of issuance of the document;
Annual Report	(vi)	Online status of ongoing works-implementation all the projects/programs being be made available
status/progress of		etc.;
undertaken under PMKKKY shall	(vii)	List of beneficiaries under various welfare programs;
on the website, including description of work,	(viii)	Voluntary disclosures under the Right to Information (Act no. 22 of 2005);
progress up to the previous quarter	(ix)	Information, Education and Communication (IEC) create awareness regarding schemes PMKKKY through
Act,2005		
activities to		
implemented under		
social media, films, videos etc.	(2)	Description of the project and amount sanctioned shall be displayed on a notice board at the project site.
	(3)	The District Mineral Foundation (DMF) shall share information of funds and implementation of works to the State Government and Ministry of Mines, Government of India as per the formats prescribed and manner specified.
	(4)	For facilitating administration of DMF, each DMF shall compulsorily upload sanctioned projects released funds and

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monitoring execution of projects on online portal developed by the Central Government.		
Grievance Redressal	328.	(1)
redressal		The DMFs shall devise and implement a grievance mechanism so that each grievance is redressed, and a suitable reply is given to the complainant within 30 days of complaint to the Collector or any other officer as may be notified.
		(2)
		The State Government on receipt of any complaint/public grievance, shall ensure each grievance is redressed by DMF and a suitable reply is given to the complainant within the stipulated timeframe.
Compliance	329.	(1)
mechanism		In case a DMF :-
		(a)
		fails to maintain an endowment fund as
		(b)
		transfers any fund in violation of rule
		(c)
		fails to comply with any of the clauses in rule 27.
		(d)
		fails to get the accounts audited as mandated in rule12(2):
		(e)
		fails to prepare and publish annual report as mandated in rule 9(ix) and 12(6),

mandated in rule 32:
26.

- (f) fails to follow directions of State Government in rule 28; the State Government may direct,
- (i) suspension of sanction of any or all new works or execution of any or all of already sanctioned works; and/or
- (ii) suspension of release of funds for any or all the works by the bank (s) where DMF fund is deposited or the bank account of the executing agencies where funds have been transferred from DMF (2)

The State Government may after being satisfied that necessary corrective measures have been taken, withdraw such suspension.

State Level Monitoring Committee Secretary with Pradesh, representative of members.

(1) State Level Monitoring Committee shall be constituted at the State level under the Chairpersonship of the Chief Secretary of Geology and Mining Department, Uttar Finance and Planning Department, and one Ministry of Mines, Government of India as

(2) The Secretary of Geology and Mining Department, Uttar Pradesh who supervises DMFs in the State shall be the Member Secretary of the State Level Monitoring Committee.

(3) The Directorate of Geology and Mining, Uttar Pradesh shall constitute a cell to be called "State Level Nodal DMF Cell" for monitoring activities of DMFs in the State and shall act as the Secretariat to the State Level Monitoring Committee.

(4) Powers and functions of State Level Monitoring Committee (SLMC)-The State Level Monitoring Committee shall monitor performance of DMFs under PMKKKY and compliance of transparency norms, audit and annual report of DMFs.

(5) Meetings of State Level Monitoring Committee (SLMC)-The State Level Monitoring Committee shall meet at least twice in a year.

Project Management Mineral Foundation Unit

(1) In order to enhance the capacity of the District

Foundation with annual Project and Management Unit

Trust and for effective utilization of District Mineral Trust funds, the District Mineral Foundation Trust collection in the excess of Rs. 50 crores shall set up a Management Unit for planning, technical, accounting monitoring support and the cost of such Project may be met from administrative expenses

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(2) The Project Management Unit may engage required qualified manpower on contractual basis. Engagement of personnel for projects under the Pradhan Mantri Khanij Kshetra Kalyan Yojana shall be purely contractual for a limited period only.

(3) As far as possible, no temporary/ permanent posts shall be created under the District Mineral Foundation. Any creation of temporary/ permanent posts and purchase of vehicle by the

- foundation shall require prior approval of the State Government. However, minimum required staff can be engaged on contractual basis.
- Endowment fund or more shall 332. (1) The districts having annual collection of Rs. 10 crore maintain an endowment fund.
- (2) The endowment fund may be invested in Government securities/bonds and Fixed Deposits (FDs) of scheduled banks.
- (3) A reasonable sum not exceeding 10% of the annual receipts shall be kept as endowment fund and the same shall be used for providing sustainable livelihood in areas where mining activity has stopped due to any reason including exhaustion of mineral.
- Implementation of 333. (1) Works/goods shall be procured through Tender/ Government E-works/Contracts Marketplace (GeM) Portal.
- (2) Transfer of fund to all executing agencies and beneficiaries shall be through direct benefit transfer (DBT) only into their bank account."

By order,
MALA
SRIVAST
AVA
Sachiv,

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